

#5
6/13/02 2159
PTO/SB/21 (08-00)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/076,906
Filing Date	February 13, 2002
First Named Inventor	Meyer, Richard
Group Art Unit	2152
Examiner Name	Unassigned

Attorney Docket Number

20949P-000800US

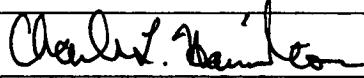
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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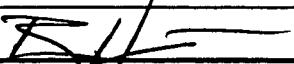
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#5
01/31/03 PATENT
Attorney Docket No.: 20949P-000800US
Client Reference No.: CNI #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard Meyer

Application No.: 10/076,906

Filed: February 13, 2002

For: FAILOVER PROCESSING IN A
STORAGE SYSTEM

Examiner: Unassigned

Art Unit: 2152

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the International Search Report corresponding to PCT application, PCT/US02/04908. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No.

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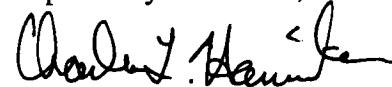
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Richard Meyer
Application No.: 10/076,906
Page 2

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Respectfully submitted,



Charles Hamilton
Reg. No. 42,624

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Substitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

109 ETC
PATENT
EXAMINER

of 1

Complete If Known

Application Number	10/076,906
Filing Date	February 13, 2002
First Named Inventor	Myer, Richard
Art Unit	2152
Examiner Name	Unassigned

Attorney Docket Number 20949P-000800US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
1	US-20020007468 A1		01-17-2002	Kampe et al.	
2	US-6,185,695		02-06-2001	Murphy et al.	
3	US-6,104,871		08-15-2000	Badovinatz et al.	
4	US-5,996,086		11-30-1999	Delany et al.	
5	US-5,704,032		12-30-1997	Badovinatz et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/076,906
Filing Date	February 13, 2002
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Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	20949P-000800US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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